

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

David J. ENGBERG

Serial No. 09/873,209

Filed: June 5, 2001

For: MIXER SEAL AND BEARING  
APPARATUS AND METHOD

**ATTENTION APPLICATION BRANCH**



**RESPONSE TO NOTICE  
OF MISSING PARTS OF APPLICATION**

Honorable Commissioner of  
Patents and Trademarks  
Washington D.C. 20231

Sir:

In response to the Notice of Missing Parts of Application dated August 20, 2001, submitted herewith are the following documents for filing in the above-referenced application:

- I. Basic filing fee of \$00.00
- II. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
- III. Late Filing Fee Surcharge of \$130

Attached is a check in the amount of \$ 130.00.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 50-0436 and please credit any excess fees to such deposit account.

Respectfully submitted,

PEPPER HAMILTON LLP

A handwritten signature in black ink, appearing to read "Leo J. Jennings".

Leo J. Jennings

Registration No. 32,902

Date: September 12, 2001  
600 Fourteenth Street, N.W.  
Washington, D.C. 20005-2004  
Telephone: 202.220.1200  
Facsimile: 202-220-1665



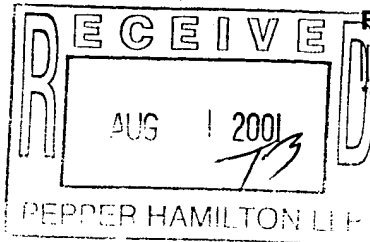
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/873,209	06/05/2001	David J. Engel	114283.2800

CONFIRMATION NO. 4031

Pepper Hamilton, LLP  
Hamilton Square  
600 14th Street  
Washington, DC 20005



FORMALITIES LETTER



LS  
114283.2800  
Date Mailed: 08/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

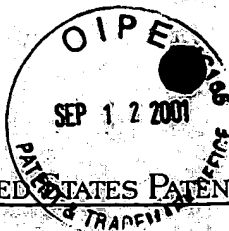
PART 1 - ATTORNEY/APPLICANT COPY

09/14/2001 MBELETE1 00000050 09873209

01 FC:105

130.00 0P

missing parts due 10-20-01



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\*OC00000006445076\*

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600 14th Street  
Washington, DC 20005

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Effective OCTOBER 1, 2001, Patent Fees will be adjusted. Please note that, although the fee amounts required by the enclosed Notice might reflect the fees due on the date of filing, any fee paid on or after OCTOBER 1, 2001 is subject to the new fee schedule and must be paid in the new amount.

**EXAMPLES OF FEES BEING ADJUSTED**

See [www.uspto.gov](http://www.uspto.gov) for complete FY-2001 Fee Schedule

-- Indicates  
fees remain  
at FY 2001  
amount

DESCRIPTION	FY 2001	FY 2002
Basic filing fee - Utility	\$710	\$740
Basic filing fee - Utility (Small Entity)	\$355	\$370
Independent claims in excess of three	\$80	\$84
Independent claims in excess of three (Small Entity)	\$40	\$42
Claims in excess of twenty	\$18	--
Claims in excess of twenty (Small Entity)	\$9	--
Multiple dependent claim	\$270	\$280
Multiple dependent claim (Small Entity)	\$135	\$140
Surcharge - Late filing fee	\$130	--
Surcharge - Late filing fee (Small Entity)	\$65	--
Design filing fee	\$320	\$330
Design filing fee (Small Entity)	\$160	\$165
Plant filing fee	\$490	\$510
Plant filing fee (Small Entity)	\$245	\$255
Reissue filing fee	\$710	\$740
Reissue filing fee (Small Entity)	\$355	\$370
Reissue independent claims	\$80	\$84
Reissue independent claims (Small Entity)	\$40	\$42
Reissue claims in excess of twenty	\$18	--
Reissue claims in excess of twenty (Small Entity)	\$9	--
Provisional application filing fee	\$150	160
Provisional application filing fee (Small Entity)	\$75	80
Surcharge - Late provisional filing fee	\$50	--
Surcharge - Late provisional filing fee (Small Entity)	\$25	--
Non-English Specification	\$130	--
Extension - First month	\$110	--
Extension - First month (Small Entity)	\$55	--
Extension - Second month	\$390	\$400
Extension - Second month (Small Entity)	\$195	\$200
Extension - Third month	\$890	\$920
Extension - Third month (Small Entity)	\$445	\$460
Extension - Fourth month	\$1,390	\$1,440
Extension - Fourth month (Small Entity)	\$695	\$720
Extension - Fifth month	\$1,890	\$1,960
Extension - Fifth month (Small Entity)	\$945	\$980
Petition	\$130	--
Processing returned checks	\$50	--

For further fee information, please call  
(703-308-HELP)